**Post-treatment recovery of suboptimal DNA repair activity and gene expression levels in colorectal cancer patients**

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Post-treatment recovery of suboptimal DNA repair capacity and gene expression levels in colorectal cancer patients

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Abbreviations:

5FU, 5-fluorouracil
BPDE, (+)-anti-Benzo[a]pyrene-7,8-dihydrodiol-9,10-epoxide
BER, base excision repair
CRC, colorectal cancer
CV, coefficient of variation
DDR, DNA damage response
HR, homologous recombination
MMR, mismatch repair
NER, nucleotide excision repair
PBMCs, peripheral blood mononuclear cells
PCA, principal component analysis
SBs, strand breaks

Running-head: DNA repair follow-up in CRC patients

Keywords: DNA instability, DNA damage response, Biomarker, Anti-cancer therapy, Follow-up study
Abstract

DNA repair in blood cells was observed to be suboptimal in cancer patients at diagnosis, including colorectal cancer (CRC). To explore the causality of this phenomenon, we studied the dynamics of DNA repair from diagnosis to one-year follow-up, and with respect to CRC treatment. Systemic CRC therapy is targeted to DNA damage induction and DNA repair is thus of interest. CRC patients were blood-sampled 3 times in 6-month intervals, starting at the diagnosis, and compared to healthy controls. DNA repair was characterized by mRNA levels of 40 repair genes, by capacity of nucleotide excision repair (NER), and by levels of DNA strand breaks (SBs). NER and base excision repair genes were significantly under-expressed (p<0.02) in patients at diagnosis compared to controls, in accordance with reduced NER function (p=0.031) and increased SBs (p=0.013). Six months later, there was an increase of NER capacity, but not of gene expression levels, in treated patients only. A year from diagnosis, gene expression profiles and NER capacity were significantly modified in all patients and were no longer different from those measured in controls. All patients were free of relapse at the last sampling, so we were unable to clarify the impact of DNA repair parameters on treatment response. However, we identified a panel of blood DNA repair-related markers discerning acute stage of the disease from the remission period. In conclusion, our results support a model in which DNA repair is altered as a result of cancer.
Introduction

Colorectal cancer (CRC) is one of the most frequently occurring malignancies in Western countries. The genetic basis of hereditary forms (~6% of all cases) is relatively well explored while for sporadic forms (~75% of all cases) there is still a lack of knowledge about the genetic-epigenetic-environmental triggers of the disease. More importantly, CRC has high mortality worldwide due to the insufficient treatment efficacy and a lack of predictive markers [1]. Only approximately half of CRC patients will be cured using currently available therapies [2]. A commonly used conventional regimen for CRC treatment is based on the synergistic action of two DNA-damaging agents: 5-fluorouracil (5FU) and oxaliplatin. In response to this treatment, cells activate a range of resistance-promoting mechanisms including the DNA repair pathways [3]. Some of the optimal biomarkers might be thus found within the DNA repair network.

The present study represents a continuation of our previous report, where we showed that peripheral blood mononuclear cells (PBMCs) collected from CRC patients at the time of diagnosis exhibited elevated genetic instability. Specifically, they had increased levels of DNA strand breaks (SBs) and low DNA repair capacity accompanied by altered expression of repair genes [4]. The suboptimal DNA repair capacity in PBMCs of cancer patients is now well documented and observed in multiple cancer types [4]. Thus, there is a large body of evidence obtained in case-control studies supporting the association between cancer and a decrease in the ability of blood cells to protect against DNA damage. However, a sampling of the patients at one time point does not bear information about the causality of this phenomenon and so it remains to be established whether low DNA repair is one of the susceptibility factors for sporadic CRC, or it is a consequential effect of the disease. On the other hand, it is known that DNA repair capacity detectable in PBMCs is significantly related to the repair capacity of colorectal tissue [5,6]. These observations give more confidence for using blood as a surrogate for cancer-target tissue to study DNA repair involvement in CRC. Subsequently, a few recent studies provided evidence that tumor tissue maintains comparable nucleotide excision repair (NER) capacity and slightly elevated capacity of base excision repair (BER) as compared to adjacent healthy colorectal mucosa, although there is a differential mRNA expression of DNA repair genes [6-8]. Impaired excision repair, thus, may
not contribute to the malignant transformation of the colon, but rather might be involved in
the treatment response of the patients.

Conventional therapy of CRC, based on the mutagenic properties of anticancer drugs, is
expected to be more harmful to fast growing tumor cells than to normal cells. However,
details of the effects of radiotherapy and chemotherapy on the cellular and molecular
functions of the tumor and normal cells are still unexplored. Almost all DNA repair pathways
are presumably involved in the cellular response to CRC treatment. 5FU-mediated DNA
lesions are recognized by the BER and the mismatch repair (MMR) systems [9]. Oxaliplatin
binds to nucleobases forming intra- and inter-strand crosslinks. The former are eliminated
mainly by the NER pathway while the latter require cross-link repair activity, translesion
synthesis, and homologous recombination repair (HR) [10]. Understanding the involvement
of DNA repair processes in the response of cancer cells to antineoplastic drugs is crucial for
the design of improved therapy regimens and for the prediction of therapeutic response in
CRC.

The present exploratory study was aimed to address several questions: (i) Do variations in
DNA repair contribute to the risk of developing sporadic CRC, or are they rather a
consequence of the systemic disease? (ii) Is the DNA repair response to CRC treatment
measurable at the mRNA/functional level? (iii) Is it induced or suppressed by the systemic
genotoxic exposure mediated by chemotherapy? In an attempt to answer these questions,
we have designed a prospective case-control study in which sporadic CRC patients were
blood-sampled at the diagnosis (i.e. active disease), six months, and one year later (i.e.
covering the tumor resection, administration of chemotherapy, either neoadjuvant or
adjuvant, and remission) and were compared with an healthy population. The dynamics of
DNA repair over a one-year period and with respect to ongoing CRC treatment were
analyzed. All main repair pathways including excision repair (BER, NER and MMR), repair of
double-strand breaks (HR and non-homologous end joining), and DNA damage response
(DDR) were characterized at gene expression levels. NER, the most deregulated pathway in
patients observed by us, was also studied using a functional assay and through measuring
SBs accumulation.
Materials and Methods

Study population

Incident CRC cases were recruited in the Czech Republic between 2008 and 2010. Patients were newly diagnosed and histologically confirmed for CRC. Eighty-three patients were initially recruited for the study but only 39 (47%) attended all the three planned blood samplings. Reasons for dropout were: (i) 7 (8%) patients died before third sampling, (ii) 10 (12%) patients moved and were treated in other hospitals or interrupted therapy for unspecified reasons, (iii) 19 (23%) patients missed one out of the three samplings or did not attend regular hospital follow-up at agreed times, or the material provided did not meet the quality standards required, and (iv) 8 (10%) patients were excluded because they were found not to be primary cancer cases. No other selection of patients was performed. Forty-seven controls were recruited among individuals who had never been diagnosed with any cancer and did not manifest any relevant systemic disease, nor had any known significant exposure to potentially harmful chemicals. Study subjects were all of Caucasian origin. They signed a written informed consent with the study in accordance with the Helsinki declaration. The Ethics committees of participating hospitals approved the study. Trained personnel interviewed the study subjects using a structured questionnaire for lifestyle habits, body mass index, diabetes and family/personal history of cancer. Expression analysis and functional assays could not be performed on all patients, due to various reasons, i.e. viability of PBMCs or quality of RNA. For each analysis, the actual number of examined cases is therefore specified.

Study design

Blood samples were collected from patients three times: at diagnosis, i.e. before tumor resection and administrating any therapy (T0); six months after tumor resection, i.e. approximately six months from administration of chemotherapy (T1); and after twelve months, during the regular follow-up appointment (T2). At T1 all patients had completed the planned chemotherapy, except for seven patients who received chemotherapy for additional 1 or 2 months. In eight patients, no therapy was administered. At T2, all patients were free of relapse.
Blood processing

Blood samples were drawn into heparin and EDTA vacutainers, and kept at 4°C until processed within 3 hrs. PBMCs from EDTA tubes were isolated on Ficoll-Paque PLUS (GE Healthcare Life Sciences) and stored in TRizol (Invitrogen) at -80°C until RNA extraction. PBMCs from heparin tubes were isolated on Histopaque-1077 (Sigma-Aldrich), counted, suspended in full medium (RPMI 1640, 2 mM L-glutamine, 10% FBS, 0.2% penicillin/streptomycin, 1.5% phytohemagglutinin) and incubated at 37°C for 20 hrs to stimulate mitosis. PBMCs were then processed for DNA damage and DNA repair analysis.

DNA strand breaks

The level of SBs in DNA was evaluated by the alkaline comet assay [11]. Experimental conditions were as follows: lysis (2.5 M NaCl, 100 mM EDTA, 10 mM Tris, 1% TritonX-100, 10% DMSO, pH 10, ≥1 hr, 4°C), alkali treatment (300 mM NaOH, 1 mM EDTA, pH 13, 20 min, 4°C), electrophoresis (300 mM NaOH, 1 mM EDTA, pH 13, 20 min, 4°C, 1.3 V/cm), and neutralization (0.4 M Tris-HCl, pH 7.5, 2x10 min). Data are reported as tail DNA%, determined as an average of 100 randomly selected comets from two parallel slides per experimental point. Repeatibility of the assay was checked by repeated measuring of 12 randomly chosen samples and obtained values were in agreement (Spearman’s R=0.76, p=0.028).

Nucleotide excision repair capacity

NER capacity was analyzed as the level of SBs generated by the incision of (+)-anti-Benzo[a]pyrene-7,8-dihydrodiol-9,10-epoxide (BPDE)-adducts in cultured PBMCs of study participants. The detailed protocol is described in Slyskova et al. [11]. Briefly, PBMCs were treated with 1 µM of BPDE for 30 min at 37°C. BPDE was washed out and PBMCs were further cultured and harvested immediately after the treatment and at 1, 2 and 4 hr after the treatment. Untreated PBMCs were cultured in parallel. For each time point, the SBs level of the untreated PBMCs was subtracted. The NER capacity was expressed as the difference between the level of SBs measured immediately after the BPDE treatment, and the highest level of SBs detected within 4 hrs of culturing. Data are presented as tail DNA%. Repeatibility
of the assay was checked by repeated measuring of 16 samples and obtained results were in agreement (Spearman’s R=0.61, p=0.012).

Gene expressions

A panel of 40 genes (Supplementary Table S1) were selected from the list of all known DNA repair genes [12]. Total RNA was isolated using TRIzol (Invitrogen). RNA integrity was between 8.0-10.0 units. cDNA was synthesized from 0.5 µg of RNA using the RevertAid™ First strand cDNA synthesis kit (MBI Fermentas). cDNA was preamplified and qPCR was performed using the BioMark™ HD System (Fluidigm) and using FAM-MGB assays (Primer Design) as described previously [6]. TOP1 was the reference gene selected by Normfinder (GenEx Enterprise). Data were converted to relative quantities and transformed to log2 scale. The repeatability of gene expression assays was calculated by mixed ANOVA, comparing the values obtained in two different experiments in two days for each assay. All assays showed high degree of precision. The results are reported in Supplementary Table S1 for each assay separately.

Data analysis

Statistical analysis was conducted using R environment version 2.15 (open tool). Gene expression data were pre-processed with GenEx Enterprise (MultiD). DNA damage and DNA repair capacity were consistent with the Gaussian distribution, and so were the gene expression data when log transformed. Student’s t-Test, paired t-Test, ANOVA and Pearson’s rank correlation coefficient were used to compare means and calculate bivariate correlations. Statistical tests were performed at 5% level of statistical significance. Gene expression data are presented as fold-changes relative to the reference samples, calculated using linear models and the empirical Bayes method as implemented in the ‘limma’ package in the Bioconductor suite (open tool). P-values were adjusted according to the Benjamini–Hochberg method. Principal Component Analysis (PCA), Dynamic PCA, Hierarchical Clustering (HCL) and Kohonen self-organizing map analysis (SOM) of size 2x1, with parameters: 0.1 learning rate, 2 neighbors and 3000 iterations were used to analyze gene expression profiles between patients and controls and between different samplings in patients. The k-means algorithm was used to classify genes into clusters based on their
expression profiles over the three time points, considering the patients clinical characteristics.

Results

Study population

Patients and controls did not differ significantly for any recorded confounders, except for family history of cancer, which was more prevalent among patients (p=0.01). The clinical, biological and lifestyle characteristics of the study population, as well as an overview of the administered therapies, are summarized in Table 1. All patients underwent surgical resection of the tumor. Eighteen patients (46%) received neoadjuvant therapy prior to resection. Neoadjuvant therapy included the following regimens: 2 patients received radiotherapy (6 MeV X-rays, 45-46 Gy total dose in 23-25 fractions), 3 patients received pre-operative chemotherapy without radiotherapy (FOLFOX or capecitabine), and 13 patients received a combined regimen. Twenty-two patients (56%) received adjuvant systemic therapy; nine of them were given 5FU-based therapy, while 13 received a combination of 5FU and oxaliplatin. Adjuvant therapy was given over a period of 1-8 months. Tumor relapse after the treatment was not detected in any of the patients. Eight patients did not receive any systemic treatment.

Case-control study

DNA repair genes expression

Twenty-seven CRC cases and 38 controls were analyzed for the expression of 40 DNA repair genes, sampled at diagnosis and before any treatment intervention (T0). Patients had different expression levels of BER and NER genes compared to controls: 4 out of 9 BER genes (APEX1, NTHL1, PARP1 and MPG) and 10 out of 19 NER genes (RPA1, RPA2, RPA3, CDK7, DDB1, DDB2, XPA, XPD, ERCC8 and RAD23B) had significantly decreased levels in patients. Only LIG3 (BER) was increased in patients as compared to controls. Fold-changes and p-values are reported in Supplementary Table S2. The dendogram in Figure 1, performed by HCL, discriminates between patients and controls by expression profiles of all 40 repair genes. The same discrimination was observed for the NER genes only (data not shown).

NER capacity and SBs
Functional assays were performed for 31 CRC cases and 38 controls. NER capacity was significantly lower in the CRC patients analyzed at T0 compared to the controls (mean±SD; 9.9±7.5 and 15.5±13.6, respectively; t-Test p=0.008; Figure 2-A), and the patients had significantly higher levels of SBS than the controls (25.6±21.4 and 13.9±13.8, t-Test p=0.015; Figure 2-B).

**Longitudinal study**

*Changes in DNA repair genes expression during one year of follow-up*

Twenty-seven patients analyzed at T0 were sampled two more times with at six month intervals (T1 and T2). Expression of the majority of the studied genes did not change appreciably between T0 and T1 but substantially changed at T2. PCA of all 40 studied genes reveals similar expression patterns of samples obtained at T0 and T1 while the expression at T2 has a significantly different pattern and the samples are organized in a distinct cluster together with controls (Figure 3). To identify differentially expressed genes that best discriminate both clusters, dynamic PCA in combination with Kohonen SOM was used. Six genes (BER: LIG3, NER: RPA3, CDK7, DDB2, HR: NBN and DDR: CHEK2) were found to be responsible for the aggregation of patients into two distinct clusters (Figure 4).

*DNA repair genes expression over time in relation to therapy*

The k-means algorithm was used to correlate the gene expression levels over time to treatment (untreated vs. treated patients) and to the different regimens of adjuvant therapy (5FU vs. 5FU+oxaliplatin). Four main clusters were generated based on the Cq delta values representing the differences between T1-T0 and T2-T1 (Supplementary Figure 1). A trend line for the four clusters was constructed and each cluster was also represented by a heatmap showing for each gene the expression values over time. The heatmap revealed great variability in the expression dynamics of the studied genes, particularly in the T1-T2 interval. The expression of the majority of the genes did not differ between treated and untreated patients, except for 3 genes. The BER gene MUTYH mRNA levels increased in adjuvantly treated patients but was reduced in untreated. POLB (BER) and XPB (NER) had constant expression over time in treated patients, but were upregulated from T0 to T2 in
untreated patients (Supplementary Figure 1). No differences were observed between therapy regimens of 5FU+oxaliplatin versus 5FU only.

Changes in NER capacity and SBs during one year of follow-up

NER capacity and SBs were compared between the 3 samplings in a group of 27 patients. NER capacity increased significantly between T0 and T1, and did not change further between T1 and T2 (T0: 9.9±7.5, T1: 15.8±13.2, T2: 11.2±6.9, ANOVA p=0.002; Figure 2-A). NER capacity at T1 and T2 did not differ from that in the controls (t-Test p=0.87 and p=0.10, respectively). SBs increased gradually, with the largest rise being observed between T1-T2 (T0: 25.6±21.4, T1: 29.2±17.1, T2: 41.8±12.8, ANOVA p<0.001; Figure 2-B). At all the three time points, SBs level was significantly higher in cases than in the controls (t-Test p<0.001). P-values for comparisons between each sampling in patients computed by paired t-Test are reported in Supplementary Table S3.

NER capacity and SBs over time in relation to therapy

NER capacity increased over time in adjuvantly treated patients (ANOVA p=0.01), while the trend in untreated patients was not significant (ANOVA p=0.08; Figure 5-A). This trend was significant also for patients receiving neoadjuvant treatment (ANOVA p=0.02). No differences in NER capacity were seen after stratification for treatment regimen: 5FU (ANOVA p=0.07) and 5FU+oxaliplatin (ANOVA p=0.19). SBs increased over time in all patients irrespectively of the treatment. Figure 5-B displays SBs values over time in untreated (ANOVA p=0.006) and adjuvantly treated patients (ANOVA p=0.05), and the same trend of increasing SBs was observed for neoadjuvantly treated patients (ANOVA p=0.04). By stratifying adjuvantly treated patients, it was found that the degree of SBs was not affected by 5FU treatment (ANOVA p=0.78), but increased with the 5FU+oxaliplatin regimen (T0: 19.0±22.4, T1: 28.6±21.6, T2: 42.4±14.1, ANOVA p=0.047). Paired t-Test p-values for comparisons between each sampling in patients stratified for different treatments are reported in Supplementary Table S3.
Discussion

The present study was focused on characterizing differences in DNA repair between CRC patients and cancer-free population. Subsequently, defined impairment of DNA repair in cancer patients was followed-up during a post-treatment period – with samplings at six months after tumor resection and chemotherapy administration, and an additional sample six months later. This study should reflect changes in the DNA repair proficiency in patients under the genotoxic stress of the anti-cancer treatment. We have characterized DNA repair processes in blood samples of CRC patients. PBMCs are considered to be representatives of the general condition of the organism and we have shown that their DNA repair capacity reflects that of colonic mucosa [6]. Therefore PMBCs represent potential surrogates of CRC target tissue, with the great advantage that if repeated biopsies are difficult to obtain, repeated blood samplings are feasible and much better suited for therapy monitoring.

DNA repair in patients versus controls

In the present case-control study, we have identified genes that were differentially expressed between CRC patients at diagnosis and controls. Several BER and the majority of the studied NER genes were under-expressed in CRC patients. We evaluated NER also on functional level to determine if the reduced mRNA expression levels were concomitant with reduced functional capacity of the pathway. Decreased NER capacity in patients was confirmed and was accompanied by increased levels of SBs. These findings are consistent with our previous observations of CRC patients sampled at diagnosis having lower NER capacity and higher DNA damage than controls [4]. A large body of evidence is now available on impaired NER in patients diagnosed with different types of malignancies, as reviewed by us [4] and by [13]. However, these are case-control studies with no further indication on causality of this phenomenon in relation to cancer onset.

DNA repair in patients’ follow-up

We designed a longitudinal study to follow up the CRC patients from diagnosis to one-year in 3 different samplings obtained six months apart. Interestingly, while expression profiles at diagnosis and six months later were substantially similar for the 40 analyzed genes, significant changes in mRNA levels were observed at the third sampling obtained 12 months
after diagnosis. At that time point, patients were considered “cured” and there were no cases in the study group that relapsed. We can hypothesize that the expression profiles of DNA repair genes reflected the disease activity. Indeed, patients’ expression profiles a year from diagnosis were comparable to those of the healthy subjects. NER capacity showed a similar tendency. From reduced level in patients at the time of diagnosis, NER capacity increased during the post-treatment period between diagnosis and one year later, eventually matching the level of the healthy subjects. Different results were obtained for the comparison of SBs levels overtime in patients. SBs were two-fold higher in patients at diagnosis compared to controls, and their level further increased reaching 3-fold difference a year from diagnosis. This accumulation of SBs was independent of the presence of the tumor in the body as well as treatment with DNA-damage inducing drugs. Possibly some other clinical or biological parameters that we have not controlled for may be responsible for this late effect.

The dynamics of DNA repair capacity were previously studied in healthy individuals by comparing BER and NER activities in 6 repeated samplings over a 5 month period [14]. The values positively correlated and the coefficient of variation (CV) was 27% for BER and 49% for NER, respectively. Intra-individual variability of NER capacity was evaluated also by us in a pilot study of 16 healthy subjects sampled twice 6-month apart. Values of two independent samplings significantly positively correlated and the CV of 20% was much lower than the inter-individual variability observed in our recent study on 340 healthy individuals (CV 84% for BER and 90% for NER; unpublished data). Similar observations were also reported in the study by Vogel et al [15]. DNA repair capacity thus seems to be a characteristic parameter for each individual (but having a rather high variability across the general population). Here, we showed that this hypothesis based on healthy population is not applicable in cancer patients. In fact, in the present study we showed that cancer patients undergoing anti-cancer treatment displayed significant changes in DNA repair overtime, between the diagnosis and the recovery period one-year later.

Only a few studies have examined DNA repair prospectively to elucidate the role of this process in cancer development. The expression levels of DNA repair genes OGG1, NEIL1, MUTYH, ERCC1 and XPD were not associated with subsequent risk of getting lung cancer, and it was proposed that mRNA levels should be regarded as a biomarker of exposure to
oxidative stress rather than a marker of inborn DNA repair capacity [16,17]. Quite the opposite was concluded by Paz-Elizur et al [18], who analyzed OGG1 activity in lung cancer patients at one year follow up starting at diagnosis and reported a lack of an effect of the tumor on OGG1 activity, suggesting the inherent characteristic of this parameter. Our study, in contrary to previous ones, used a multivariate approach to search for biomarkers that best characterize an individuals’ DNA repair status. It is becoming imperative to classify diseases not on the basis of a single biomarker, but on the basis of a set of molecular markers [19]. This is expected to be more robust and reliable than using any single biomarker because of their generally high variability. Changes in individual parameters will not necessarily result in a significant change of the entire pattern. Using this approach, we have seen that a decreased DNA repair status was strongly related to disease at diagnosis, but after follow up was no longer detectable. We have characterized a set of DNA repair-related parameters, which blood levels could distinguish between a condition of acute CRC versus disease in remission. The mRNA levels of six DNA repair genes (LIG3, RPA3, CDK7, DDB2, NBN and CHEK2) together with NER capacity, if verified on a larger and independent population, might represent a panel of CRC related biomarkers.

**DNA repair in relation to therapy**

NER capacity was increased upon tumor resection in all patients, but this trend was statistically significant only in patients administered to chemotherapy. In this context it is noteworthy that the p-values observed for the increase in DNA repair in untreated patients were of borderline significance. An increase in the probability of these findings by enlarging the study group cannot be ruled out. Therefore, it is difficult at present to clearly distinguish whether the enhancement of NER capacity is a consequence of surgical tumor elimination, a defense reaction of normal cells against a systemic treatment, or both. Mutagenic activity of radio- and chemotherapeutics poses a challenge to not only the tumor, but also normal cells, that need to adjust their cellular functions in order to protect their genetic integrity. Indeed, several studies have shown that DNA repair processes are induced by genotoxic stress [20-23]. In our study group, an increase in the NER capacity at the end of treatment was not accompanied by an increase in the NER genes transcription, except for XPF and XPG. These last two might be the main activators of NER function. In fact, endonucleases are critical...
components of NER and their knockdown dramatically reduces NER activity [24]. In this context, we cannot neglect the role of post-transcriptional [25,26] and post-translational [27-30] modifications in DNA repair regulation. At the mRNA level, only 3 out of 40 genes showed differential behavior between treated and untreated subjects, and those were involved again in the BER and NER pathways. BER gene MUTYH (MutY Homolog E. coli) increased its expression over time in treated patients and remained stable in untreated patients. On the contrary, POLB (Polymerase Beta) involved in BER and NER gene XPB (Xeroderma Pigmentosum B) showed increased expression over time in untreated patients only. Although these differences did not seem to have any effect on the immediate response to therapy, it cannot be excluded that they may play some role in long-term survival. Above genes have been implicated in CRC development. Aberrant MUTYH glycosylase has been linked to one type of CRC [31], and POLB is mutated in about 50% of human cancers [32], including CRC [33]. Also, therapeutic downregulation of POLB activity was recently considered in order to meet better treatment response [34], and its mRNA levels have been proposed to be a prognostic indicator in CRC treatment [35].

So far, few studies have examined DNA repair in relation to anti-cancer therapy response and survival, but they usually sampled patients only once before therapy. Jewell et al. studied melanoma patients and reported that higher mRNA levels of DNA repair genes in biopsies were associated with a higher risk of relapse [36]. Wang et al. found that elevated DNA repair activity in peripheral lymphocytes correlated with shorter survival in lung cancer [37]. Similar tendencies were reported by Asakawa et al. in breast cancer biopsies in which high DNA damage response was linked with poor response to neoadjuvant therapy [38]. We were unable to analyze DNA repair parameters in relation to the patients’ response to therapy at endpoint since no post-treatment tumor relapse was observed in the study group. Considering the small size of the study population, further stratifications for specific treatments would not reach sufficient statistical power to draw strong conclusions.

In summary, we described the dynamics of DNA repair in blood cells of CRC patients in a time frame of one year. DNA repair downregulation in the presence of active disease, as reflected by quantitative PCR and functional assays, was centered on two excision repair pathways – BER and NER. One year after the diagnosis and successful treatment, the
downregulation was not detectable anymore, and the patients exhibited a molecular pattern of DNA repair similar to that of healthy controls. DNA repair markers evaluated in blood cells can be used to distinguish between an acute and a post-treatment cancer-free condition, thus reflecting the disease activity in CRC patients.

**Disclosure:** The authors declare they have no competing interests.

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Figure legends:

**Figure 1:** Hierarchical clustering of CRC patients sampled at the diagnosis (T0) and healthy controls according to the expression profiles of 40 DNA repair genes.

**Figure 2:** NER capacity (A) and SBs (B) in CRC patients measured in three consecutive samplings at six month intervals starting from the diagnosis (T0, T1, and T2) and of healthy controls. Figure shows mean±SD and ANOVA p-values.

**Figure 3:** Principal component analysis of gene expression levels of 40 DNA repair genes analyzed in CRC patients at 3 time points (T0, T1, and T2) and in control population.

**Figure 4:** Identification of the most significant genes responsible for division of samples into two separate clusters. The color coding is the identical with the one in Figure 3. Kohonen self-organizing map analysis (A) and three-dimensional principal component analysis (B) based on the mean-centered differential gene expression profiles of *LIG3, RPA3, CDK7, DDB2, NBN* and *CHEK2*.

**Figure 5:** Subgroups of untreated patients and adjuvantly treated patients compared for changes in NER capacity (A) and DNA SBs levels (B) as analyzed in three consecutive samplings obtained at six month intervals (T0, T1, and T2). Figure shows mean±SD and ANOVA p-values.

**Supplementary Figure 1:** DNA repair genes divided into four different clusters defined by the dynamics of their expression over time from T0 to T2, as computed by k-means algorithm. Each cluster is described by a heatmap and the trend-line linking the centroid values. Each gene has two profiles: “Ther” describes an expression profile of treated patients, while “NoTher” corresponds with expression dynamics of untreated patients. Genes displaying different behavior between the two groups are highlighted.
### Table 1. Study population characteristics.

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<th>Characteristics</th>
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Figure 1: Hierarchical clustering of CRC patients sampled at the diagnosis (T0) and healthy controls according to the expression profiles of 40 DNA repair genes.
Figure 2: NER activity (A) and DNA damage (B) in CRC patients measured in three consecutive samplings obtained in six month intervals starting at the diagnosis (T0, T1 and T2) and of healthy controls sampled at T0. Figure shows mean±SD and ANOVA p-values.
Figure 3: Principal component analysis of gene expression levels of 40 DNA repair genes analyzed in CRC patients at 3 time points (T0, T1, and T2) and in control population.
Figure 4: Identification of the most significant genes responsible for division of samples into two separate clusters. The color coding is identical with the one in Figure 3. Kohonen self-organizing map analysis (A) and three-dimensional principal component analysis (B) based on the mean-centered differential gene expression profiles of LIG3, RPA3, CDK7, DDB2, NBN and CHEK2.
Figure 5: Subgroups of untreated patients and adjuvantly treated patients compared for changes in NER activity (A) and DNA damage levels (B) as analyzed in three consecutive samplings obtained in six month intervals (T0, T1 and T2). Figure shows mean±SD and ANOVA p-values.